



Privacy Policy

Protecting Your Privacy

RP Slater Financial Management, LLC is required to communicate its position as it pertains to the privacy of client information. Protecting client privacy is paramount to us, and we realize that an individual's financial matters are extremely private and sensitive in nature. The following is our privacy policy and pledge to you.

Information We Collect

To facilitate servicing your account, we may collect non-public personal information about you from your broker-dealer or from applications or other forms we receive from you; transactions with us, our affiliates, or other professionals you work with and have asked us to confer with.

Confidentiality and Security

We keep confidential all financial information you give to us. We maintain both physical and electronic safeguards in accordance with federal law and regulatory standards. These procedures are also designed to ensure that your financial information is accurate, current, and complete. Please notify us if at any time our records contain incomplete or inaccurate information about you. Upon notification from you regarding our records, we will promptly address your concerns and make any necessary corrections.

Limited Disclosure of Information

Under no circumstances will we sell information about you or your business to any public or private company or person.

We provide non-public personal information to other companies, such as brokerages, banks, lawyers and tax practitioners only to the extent necessary to complete your financial transactions and maintain our professional relationship with you. Any information that we must provide to outside companies is restricted as to content and recipient. Any company that receives such information must provide us with a copy of its privacy policy, and each policy will be reviewed to ensure that it is sufficient to meet our own client information privacy standards. Always, we will keep you fully informed as to these transactions.

We may also disclose personal information in the following instances: to comply with federal, state or local laws, rules and other applicable legal requirements; to comply with a properly authorized civil, criminal, or regulatory investigation, or subpoena or summons by federal, state or local authorities; or to respond to judicial process or government or self-regulatory authorities having jurisdiction over you or us for examination, compliance, or other purposes as authorized by law.